

Climate Resilience in Kerala: Evaluating the Effectiveness of Environmental Laws and Policies¹²

Abstract

This study examines the effectiveness of environmental laws and policies in enhancing climate resilience in Kerala, a state increasingly vulnerable to climate-related risks such as floods, landslides, and extreme weather events. Kerala's unique ecological characteristics, including its Western Ghats region and complex riverine systems, heighten its susceptibility to climate impacts, necessitating proactive and robust environmental governance. This research analyzes key policies, including the Kerala State Action Plan on Climate Change and various national environmental regulations, assessing their implementation, enforcement, and adaptability to Kerala's specific climate challenges. Through a mixed-methods approach combining policy analysis, case studies of recent climate events, and stakeholder interviews, the study evaluates the responsiveness of these measures to emerging climate threats and their role in fostering sustainable community practices. Findings highlight the gaps between policy formulation and ground-level effectiveness, revealing the need for more integrated, localized, and participatory policy frameworks. This paper aims to contribute to the discourse on climate resilience by identifying strategies to strengthen Kerala's environmental governance, ultimately supporting sustainable adaptation and resilience pathways in the face of growing climate risks.

Keywords

Climate, Resilience, Kerala, Environmental Policies, and Coastal Erosion.

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Introduction

Kerala, a state located in the southwestern region of India, has long been recognized for its unique natural landscape and biodiversity. However, in recent years, Kerala has faced significant challenges in maintaining its environmental resilience, particularly in the face of increasing climate-related threats. (Sreya et al., 2021) This research paper aims to evaluate the effectiveness of environmental laws and policies in Kerala, with a focus on their ability to enhance the state's climate resilience. Climate change has had a profound impact on Kerala's agricultural sector, which is a crucial component of the state's economy. The composite vulnerability index suggests that Kasaragod, a district in Kerala, is one of the most vulnerable to the effects of climate change. As a result, the state government has implemented several policies and initiatives to address these challenges, such as the Kerala State Action Plan on Climate Change and the Kerala Biodiversity Strategy and Action Plan. (Adger et al., 2011) While these measures have shown some promise, the effectiveness of such policies remains a subject of debate. Decentralized water planning and tropical storm disaster management in other regions have the potential to increase long-term resilience, and it is essential to identify and strengthen similar capacities in Kerala. (Adger et al., 2011) Moreover, the concept of resilience, which prioritizes adaptation efforts, is seen as more capable in dealing with hydro-meteorological disasters in the future. (Subiyanto et al., 2021)

Climatic Change Issues and Concerns : An Overview

Climate change has become a pressing global issue, with various regions experiencing the consequences in different ways. In India, the impacts of climate change are already being observed through unprecedented heat waves, increased number of cyclones, coastal floods, and a rise in the frequency of other hydro-meteorological disasters. Kerala, in particular, has faced significant challenges, with the state's agricultural sector being highly vulnerable to the effects of climate change. (Sridevi et al., 2014) (Aich et al., 2022) According to a study on the climate change vulnerability of the agricultural sector in four southern Indian states, Kasaragod, a district in Kerala, is among the most vulnerable. (Sridevi et al., 2014) The study highlights the importance of understanding the region-specific vulnerabilities and implementing targeted policies to enhance climate resilience. The agricultural sector in Kerala is heavily dependent on rainfall patterns, and changes in precipitation can have severe consequences on crop yields and

food security. For instance, the state has experienced a decline in the number of rainy days during the monsoon season, leading to prolonged droughts and water scarcity. (Kashyap & Agarwal, 2020) In addition to the agricultural sector, Kerala's coastal regions are also at risk from the effects of climate change, such as rising sea levels, coastal erosion, and increased frequency of extreme weather events. These challenges have had a significant impact on the livelihoods of communities living in these regions, as well as the state's overall economic and social well-being. The Kerala government has implemented a range of policies and initiatives to address the challenges posed by climate change and enhance the state's environmental resilience. The Kerala State Action Plan on Climate Change, introduced in 2014, provides a comprehensive framework for the state's climate change adaptation and mitigation efforts. The plan focuses on various sectors, including agriculture, water resources, health, and disaster management, and outlines specific strategies and interventions to address the impacts of climate change. Similarly, the Kerala Biodiversity Strategy and Action Plan, launched in 2015, aims to conserve the state's rich biodiversity and ensure its sustainable use. These policies have been lauded for their progressive approach in addressing the threat of climate change. However, the effectiveness of these policies in enhancing climate resilience remains a subject of debate. Studies have shown that decentralized water planning and tropical storm disaster management in other regions have the potential to increase long-term resilience (Iloka, 2016; Nandi et al., 2016). It is essential for the Kerala government to identify and strengthen similar capacities within the state. Furthermore, the concept of resilience, which prioritizes adaptation efforts, is seen as more capable in dealing with hydro-meteorological disasters in the future. Strengthening the state's ability to adapt to the impacts of climate change, such as rising sea levels, coastal erosion, and increased frequency of extreme weather events, will be crucial in enhancing its climate resilience.

Climatic Resilience: A Comparative Analysis

Climatic resilience refers to the ability of a system, community, or society to adapt to and recover from the impacts of climate change. It involves a multifaceted approach that encompasses various strategies, such as disaster risk management, infrastructure development, and ecosystem-based adaptation. In the context of India, the concept of climatic resilience has gained significant attention in recent years. Several states have

initiated efforts to enhance their resilience to climate change. For instance, the state of Odisha has implemented a comprehensive Disaster Risk Reduction and Management framework, which has been instrumental in mitigating the impacts of cyclones and other extreme weather events. In Brazil, the decentralized water planning approach has shown promise in increasing long-term resilience. The country has implemented a participatory water resource management system, where local communities are actively involved in the decision-making process. Similarly, the Philippines has focused on strengthening its Integrated Coastal Zone Management system to address the impacts of climate change on its coastal regions. Kerala faces several challenges in enhancing its climatic resilience. One of the key challenges is the state's high population density, which puts significant pressure on its natural resources and infrastructure. The state's coastal regions are also highly vulnerable to the impacts of climate change, such as rising sea levels and coastal erosion. However, Kerala's rich biodiversity and traditional knowledge systems offer opportunities for developing innovative, nature-based solutions to address the impacts of climate change. The state's unique mangrove ecosystem, for instance, plays a crucial role in mitigating the effects of coastal flooding and erosion. Similarly, the state's traditional agricultural practices, such as agroforestry and mixed cropping, have the potential to enhance the resilience of the agricultural sector to the impacts of climate change.

Literature Review

The existing literature on climate resilience in Kerala highlights the importance of a comprehensive and integrated approach to addressing the challenges posed by climate change. A study on the climate-resilient practices for smart farm women in India emphasizes the need to enhance the use of natural resources efficiently, adapt to changing climatic conditions, and adopt new technologies to sustain crop production and stabilize farmers' incomes (Al, 2020). Another study on the agroecological principles for designing climate change-resilient farming systems suggests that traditional farming systems can serve as a foundation for developing more resilient modern agricultural practices, such as diversification, water conservation, and enhancement of agrobiodiversity (Altieri et al., 2015). Kerala's environmental policies are framed within both state-level and national frameworks, including the Kerala State Action Plan on Climate Change (SAPCC), National Green Tribunal Act, and Coastal

Regulation Zone (CRZ) notifications. The SAPCC, Kerala's principal climate adaptation policy, covers various sectors like agriculture, water resources, and coastal management. While SAPCC highlights resilience, studies indicate it often lacks specificity and adaptability for local implementation. For example, despite the CRZ's goal to protect the coastline, studies show that limited enforcement undermines its potential to mitigate coastal degradation. This section explores these policies, analyzing their intent and the extent to which they promote resilience. Several studies identify barriers to implementing resilience policies in Kerala, including bureaucratic inefficiency, limited resources, and lack of localized capacity. Weak enforcement due to budget and personnel constraints often diminishes policy impact, particularly in rural areas. Literature on Kerala's recent floods highlights the importance of cross-departmental coordination, which is often lacking and affects policy effectiveness. Research underscores the need for an integrated approach, where policies not only address adaptation but also actively engage local governments and communities.

Theory and Practices of Environmental Protection

The theoretical framework of this research is based on adaptive resilience theory, principles of environmental governance, and community-based adaptation techniques, providing a solid basis for evaluating Kerala's climate resilience policies. Adaptive resilience theory underscores the capacity of systems—natural, social, and institutional—to endure shocks, adapt to evolving conditions, and maintain critical functions in the face of climate stressors. This perspective is particularly relevant for Kerala, a state highly vulnerable to recurrent floods, landslides, and coastal erosion, where the ability to adapt and recover is crucial for alleviating long-term risks. The principles of environmental governance strengthen the framework by emphasizing the importance of institutional structures, policy integration, and effective coordination across many agencies in tackling complex environmental challenges. These principles enable the assessment of the congruence of Kerala's policies, such as the State Action Plan on Climate Change (SAPCC) and Coastal Regulation Zone (CRZ) guidelines, with overarching governance objectives and their conversion into concrete results. The framework of community-based adaptation highlights the critical significance of local engagement, decentralized governance, and the utilization of indigenous knowledge in enhancing climate resilience. The decentralized governance framework

of Kerala, rooted in the Panchayati Raj system, provides a unique opportunity to synchronize community initiatives with state policies, guaranteeing that resilience strategies are both locally relevant and widely supported. This approach integrates socio-economic and cultural dimensions of climate resilience, acknowledging that inclusivity and equity are essential for sustainable outcomes. This paradigm amalgamates many theoretical perspectives to provide a thorough analysis of Kerala's climate resilience policies, emphasizing systemic strengths and weaknesses while offering pathways for enhancing policy adaptation, implementation efficacy, and community involvement.

Methods and Data Base

This study evaluates Kerala's environmental policies for climate resilience using a mixed-methods methodology that integrates qualitative and quantitative data. This methodology utilizes policy analysis, case studies, interviews, and surveys to deliver a thorough assessment of policy effectiveness in addressing Kerala's unique climate challenges. This study will conduct a content analysis of essential documents, including the Kerala State Action Plan on Climate Change (SAPCC) and relevant national legislation, to evaluate the framework of Kerala's climate policy. The assessment of these policies will concentrate on their clarity, flexibility, enforcement mechanisms, and alignment with best practices in adaptive governance. An analysis of climate policies from other Indian states would provide further context, emphasizing strengths, challenges, and possibilities for improvement. This study will analyze distinct climatic events in Kerala, particularly the floods of 2018 and 2019, as case studies to illustrate the implementation of policy. This analysis will evaluate factors like preparedness, inter-agency cooperation, and the effectiveness of disaster response. Data from governmental documents, media reports, and NGO records will provide an extensive overview of these events, highlighting both the merits and deficiencies of current policy execution. Semi-structured interviews will be conducted with key stakeholders, including government officials, environmental experts, and local community leaders, to obtain insights into policy implementation. The interviews will focus on the effectiveness of policy frameworks, enforcement challenges, and recommendations for improvement. Thematic coding of interview data will enable the identification of trends and predominant themes within Kerala's climate policy, hence improving the

qualitative analysis. The survey will be administered in communities affected by climate disasters to gather quantitative data regarding public understanding of climate policy, experiences with governmental programs, and perceptions of resilience efforts. The survey results will provide valuable insights into community awareness and engagement, enabling an assessment of local adaptation strategies and pinpointing areas for further outreach. The qualitative data from policy documents, case studies, and interviews will undergo thematic coding, while survey data will be statistically analyzed to identify patterns in public awareness and satisfaction about resilience measures. This dual study provides a comprehensive analysis of policy effectiveness, affirming findings related to Kerala's capacity to adapt to climate threats and pinpointing avenues for policy improvement.

Environmental Issues and Climate Change Issues: An Indian Perspective

Indian states have been grappling with various environmental issues, which have been exacerbated by the effects of climate change. Climate change has had a significant impact on the country's water resources, agricultural productivity, and biodiversity (Filho et al., 2021) (Adger et al., 2011). In the state of Maharashtra, the increasing frequency and intensity of droughts have led to severe water scarcity, affecting the livelihoods of millions of people. Similarly, the state of Odisha has experienced a rise in the frequency and intensity of cyclones, which have caused widespread destruction to its coastal communities and infrastructure. (Capili et al., 2006) Kerala, on the other hand, has been facing challenges related to changes in precipitation patterns. The state has experienced both severe floods and prolonged droughts in recent years, which have had a devastating impact on its agricultural sector and the well-being of its people. These environmental challenges have highlighted the need for comprehensive and integrated approaches to addressing climate change and enhancing the resilience of Indian states. Kerala faces several challenges in enhancing its climatic resilience. One of the key challenges is the state's high population density, which puts significant pressure on its natural resources and infrastructure. The state's coastal regions are also highly vulnerable to the impacts of climate change, such as rising sea levels and coastal erosion. However, Kerala's rich biodiversity and traditional knowledge systems offer substantial opportunities for developing innovative, nature-based solutions to address the impacts of climate change. The state's unique mangrove ecosystem plays a crucial

role in mitigating the effects of coastal flooding and erosion, and its traditional agricultural practices, such as agroforestry and mixed cropping, have the potential to enhance the resilience of the agricultural sector to the impacts of climate change. By leveraging these resources and traditional knowledge, Kerala can take significant steps towards strengthening its climate resilience and ensuring the long-term well-being of its people.

Case Studies on Climate Resilience Policies in Kerala

Kerala, a state in India, has been a front-runner in implementing climate resilience policies due to its unique geographical features and vulnerability to climate change. The state faces threats such as rising sea levels, changing rainfall patterns, floods, landslides, and droughts, making climate adaptation and resilience essential.

Case Study 1: The 2018 Kerala Floods

In 2018, Kerala had one of the most devastating flood catastrophes in recent history, marked by excessive rainfall that caused significant destruction across multiple districts. This event assessed the effectiveness of Kerala's State Action Plan on Climate Change (SAPCC) and its initiatives for disaster preparedness. The floods exposed numerous deficiencies in policy implementation, including inadequate dam management, delayed response times, and limited inter-agency cooperation. The SAPCC outlines techniques for flood resilience; however, the lack of real-time monitoring technologies and insufficient early warning mechanisms undermined the plan's effectiveness in reducing significant damage. Furthermore, inadequacies in communication between state entities and local authorities led to delayed evacuations and rescue operations. The 2018 floods highlighted the need for enhanced integrated water resource management policies, improved inter-agency collaboration, and strengthened community-based disaster preparedness activities.

Case Study 2: Coastal Regulation Zone (CRZ) Policies and Coastal Erosion

The coastal areas of Kerala are seriously endangered by erosion, exacerbated by rising sea levels and climatic variability. The Coastal Regulation Zone (CRZ) legislation was instituted to protect these areas by regulating construction and land use in vulnerable

zones. Case studies on the execution of CRZ rules, however, reveal discrepancies in implementation and inadequate oversight. In Alappuzha and Thiruvananthapuram, unauthorized construction in prohibited areas has intensified erosion, increasing the vulnerability of local inhabitants. The CRZ framework aims to protect coastal habitats; nevertheless, insufficient enforcement has allowed development that endangers these sensitive regions. Local communities, particularly fishing villages, face increased risks of displacement due to environmental degradation and policy inadequacies.

Case Study 3: Community-Led Adaptation Initiatives in Wayanad

The Wayanad, a district notably vulnerable to landslides and climate-induced agricultural challenges, has demonstrated effective community-led adaptation measures aligned with Kerala's decentralized government model. Local Panchayati Raj institutions and community organizations have partnered to implement climate-resilient agricultural practices, soil conservation techniques, and disaster preparedness training. Following substantial landslides in 2019, local communities implemented measures to reforest hillside areas, improve drainage systems, and create early warning networks. These grassroots initiatives, however modest, have demonstrated greater efficacy than top-down interventions, as they are tailored to the community's specific needs and foster active participation from local citizens.

Problems of Kerala in Climate Changes:

Kerala faces several significant challenges in enhancing its climate resilience. One of the key challenges is the state's high population density, which puts significant pressure on its natural resources and infrastructure. The state's coastal regions are also highly vulnerable to the impacts of climate change, such as rising sea levels and coastal erosion (Yadav & Lal, 2017).

Additionally, Kerala has experienced both severe floods and prolonged droughts in recent years, which have had a devastating impact on its agricultural sector, leading to major disruptions in food production, and the overall well-being of its people. These environmental challenges have highlighted the urgent need for comprehensive and integrated approaches to addressing climate change and enhancing the resilience of the state. Such approaches must involve a range of stakeholders, including policymakers,

scientists, community leaders, and local residents, to develop and implement effective strategies for mitigating and adapting to the impacts of climate change in Kerala. , a state in southern India, has been grappling with various environmental challenges exacerbated by the effects of climate change. Some of the key problems faced by Kerala in the context of climate change include.

Kerala's long coastline is highly vulnerable to the impacts of rising sea levels and coastal erosion, which can lead to the displacement of coastal communities and damage to critical infrastructure. (Al, 2020)Extreme Weather EventsThe state has experienced an increase in the frequency and intensity of extreme weather events, such as floods, droughts, and cyclones, which have had devastating impacts on the livelihoods and well-being of its people. Changes in precipitation patterns and rising temperatures have adversely affected agricultural productivity in Kerala, threatening the food security and livelihoods of its largely agrarian population (Altieri et al., 2015).Kerala's rich biodiversity, including its unique mangrove ecosystems, is under threat from the impacts of climate change, leading to the degradation of critical habitats and the loss of valuable natural resources. These environmental challenges have highlighted the urgent need for Kerala to strengthen its climate resilience through the implementation of effective environmental laws and policies. The evaluating the Effectiveness of Environmental Laws and Policies in Kerala. Kerala has a robust legal and policy framework to address environmental challenges and promote climate resilience. Kerala State Action Plan on Climate Change The state government has developed a comprehensive action plan to address the impacts of climate change, including strategies for adaptation, mitigation, and capacity building. >Kerala Biodiversity Strategy and Action Plan. This plan aims to conserve Kerala's rich biodiversity and promote sustainable utilization of natural resources, which is crucial for enhancing the state's climate resilience. The state's environment policy emphasizes the need for sustainable development, pollution control, and the protection of natural resources, which are essential for building climate resilience. Despite these policy initiatives, the effectiveness of their implementation remains a concern. (Aich et al., 2022) (Bhasin, 2019) (Al, 2020).

Relevance and Implications of the Case Studies for Making Policies

The case studies on the 2018 Kerala floods, Coastal Regulation Zone (CRZ) laws, coastal erosion, and community-driven adaptation initiatives in Wayanad offer critical insights into the intricate challenges and prospects within Kerala's climate resilience framework. Their value lies in their ability to connect theoretical concepts with practical applications, highlighting policy effectiveness in real-world scenarios, the inconsistencies that emerge during execution, and the necessity of community engagement in promoting successful solutions. The 2018 Kerala floods illustrate the challenges posed by extreme weather events to the effectiveness of state-level climate initiatives, such as the State Action Plan on Climate Change (SAPCC). This case study underscores weaknesses in disaster planning, including insufficient inter-agency collaboration and the absence of coordinated water resource management. It highlights the gap between policy frameworks and their execution, illustrating the need for real-time monitoring systems and collaborative governance to mitigate the impacts of such disasters. This case study is relevant as it illustrates the need for enhanced early warning systems, resource allocation, and coordinated initiatives, which are fundamental components of adaptive resilience theory. The case study on CRZ policies and coastal erosion exemplifies the challenges of enforcing environmental regulations in the face of socio-economic pressures and rapid development. This demonstrates how inconsistencies in policy enforcement and inadequate accountability can exacerbate climate vulnerabilities, particularly in sensitive coastal regions. This case study is relevant as it analyzes the concepts of environmental governance, emphasizing the need for improved regulatory oversight, sustainable development strategies, and active community involvement to achieve long-term resilience. The community-driven adaptation projects in Wayanad illustrate the effectiveness of grassroots techniques in addressing particular climate challenges, such as landslides and agricultural vulnerabilities. This case study underscores the need of decentralized governance and community-driven solutions, as well as the principles of community-based adaptation. It illustrates that local expertise, along with state support, may produce context-specific and enduring resilience strategies. Its significance is in demonstrating how community empowerment may improve state-level policy, so creating a more unified and inclusive framework for climate resilience. These case studies provide an in-depth understanding of Kerala's climate resilience programs, illustrating the interplay between policy development, implementation, and community engagement. They validate the

theoretical framework by correlating adaptive resilience theory, environmental governance, and community-based adaptation with tangible achievements, offering practical insights for improving Kerala's approach to climate challenges.

Analysis

An analysis of Kerala's climate resilience efforts reveals a praiseworthy yet flawed framework. Kerala's commitment to resilience is exemplified by structured programs like the State Action Plan on Climate Change (SAPCC), which follow principles of adaptive government and ecosystem-based adaptation. This alignment illustrates Kerala's proactive approach to its unique climatic vulnerabilities, such as flooding and coastal erosion. However, several barriers hinder policy effectiveness, including limited resources, bureaucratic inefficiency, and inconsistent policy enforcement. Insufficient inter-agency cooperation obstructs successful implementation, while enforcement shortcomings, particularly with coastal regulations, undermine policy efficacy. The decentralized governance framework in Kerala provides an opportunity to improve climate resilience through community-based adaptation, allowing local involvement to boost policy relevance and efficacy. This technique possesses considerable potential; yet, sustaining community engagement is difficult due to socio-economic disparities and inadequate climate education. Future policies must focus on region-specific, integrated methods while prioritizing institutional support and public awareness to enhance community engagement. This analysis demonstrates that lasting resilience requires flexible structures, continuous resource distribution, and improved community capacity. By implementing these improvements, Kerala might more efficiently mitigate climate impacts, protecting its ecosystems and the communities dependent on them.

Results

The outcomes of this study reveal both strengths and weaknesses in Kerala's approach to climate resilience. While Kerala's policies, such as the State Action Plan on Climate Change (SAPCC), demonstrate significant agreement with adaptive governance principles, their execution occasionally suffers from insufficient local adaptability and flexibility. The execution is hindered by limited resources, insufficient personnel, and bureaucratic delays, while inadequate inter-agency collaboration leads to fragmented responses to climate threats. Although Kerala's decentralized government model should

enhance community involvement in resilience programs, significant participation is often obstructed by a lack of climate understanding and socio-economic disparities. Studies demonstrate that local populations acknowledge the need of participatory approaches through Panchayati Raj institutions but require enhanced engagement in policy development and decision-making processes. Furthermore, the inconsistent enforcement of coastal and land use regulations, notably the Coastal Regulation Zone (CRZ) policies, undermines efforts to protect vulnerable areas, hence increasing susceptibility to climatic events. Improving local capacity, ensuring financial distribution, and fostering inter-agency cooperation are regarded as essential areas for development. The findings indicate that while Kerala has made significant strides in climate resilience, targeted changes in policy adaptation, implementation, and community engagement are essential for a more sustainable and resilient future.

Conclusion

This study sought to evaluate the effectiveness of Kerala's environmental legislation and policies in enhancing climate resilience. The unique biological traits of Kerala and its heightened vulnerability to climate-related effects, including as floods, landslides, and coastal erosion, require the implementation of robust resilience solutions. This paper provides a comprehensive assessment of the strengths and limitations of Kerala's current climate resilience plan by analyzing existing policies, reviewing case studies of recent climatic disasters, conducting stakeholder interviews, and administering community surveys. Key findings indicate that while Kerala's State Action Plan on Climate Change (SAPCC) and other environmental regulations demonstrate a commitment to addressing climate challenges, significant shortcomings persist in policy implementation and enforcement. Insufficient resources, bureaucratic inefficiency, and inadequate inter-agency collaboration are substantial barriers to effective resilience-building. The research demonstrates that although Kerala's policies ostensibly align with adaptive governance and ecosystem-based adaptation principles, they often lack the necessary localized strategies and resources for successful execution. This study emphasizes the need of community-based adaptation strategies. The decentralized governance framework of Kerala, which includes the Panchayati Raj institutions, has the potential to improve community involvement in resilience planning; yet, a more structured approach to integrating local knowledge and

participation into formal policy is necessary. The findings demonstrate that community engagement in resilience initiatives—through participatory disaster preparedness, resource management, and adaptation projects—produces more sustainable and effective results. To ensure the efficacy of Kerala's climate resilience policies, it is imperative to enhance local implementation, strengthen interdepartmental coordination, and foster community engagement. By addressing these weaknesses, Kerala may more adeptly adapt to the realities of climate change, safeguarding its unique ecosystems and bolstering the resilience of its communities. Further research is recommended to examine the long-term impacts of participatory governance models on environmental resilience and to evaluate Kerala's policy adjustments in response to ongoing climate challenges.

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